

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Graham R. EASTHAM *et al.*

Appln. No.: 10/524,034

Confirmation No: 2449

International Application No. PCT/GB03/03383

International Application Filed: August 4, 2003

For: PROCESS FOR THE
CARBONYLATION OF
ETHYLENICALLY UNSATURATED
COMPOUNDS

Art Unit : To Be Assigned

Examiner: To Be Assigned

Atty. Docket No. 31229-213441

Customer No.

26694

PATENT TRADEMARK OFFICE

SECOND INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attention: MAIL STOP - PCT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, the attention of the U.S. Patent and Trademark Office is hereby directed to the references listed on the attached form PTO/SB/08. These references are cited in the specification of the above-referenced application. It is respectfully requested that these references be expressly considered during the prosecution of this application, that they be made of record herein, and that they appear among the "References Cited" on any patent to issue therefrom.

The application identifies several European patent documents, and their U.S. counterparts are submitted herewith. The following table summarizes the relationships:

<u>European Patent</u>	<u>US Patent</u>	<u>Cite No.</u>
EP 0 489 472	5,149,868	A1
EP 0 274 795	4,960,926	A2
EP 0 499 329	5,189,003	A3
	5,258,546	A4
EP 0 386 883	5,028,576	A5
	5,099,062	A6
	5,103,043	A7
	5,158,921	A8
EP 0 441 447	5,166,116	A9
	5,177,253	A10
	5,179,225	A11
EP 0 489 472	5,149,868	A12
EP 0 282 142	4,786,443	A13
EP 0 277 160	5,004,604	A14
EP 0 495 547	5,210,280	A15
EP 0 495 548	5,210,280	A15

In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists. In accordance with 37 C.F.R. § 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

This Information Disclosure Statement is being filed before the issuance of the first Office Action, and fee is believed to be required; however, if a fee is determined to be due,

please charge the amount to our Deposit Account No. 22-0261, and advise the undersigned accordingly.

Respectfully submitted,

Date: October 11, 2008



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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/524,034
				Filing Date	February 9, 2005
				First Named Inventor	Graham R. EASTHAM <i>et al.</i>
				Group Art Unit	To Be Assigned
				Examiner Name	To Be Assigned
				Attorney Docket Number	31229-213441
Sheet	1	of	1		

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A1	5,149,868		DRENT	09-22-1992	
	A2	4,960,926		DRENT	10-02-1990	
	A3	5,189,003		KLUSENER <i>et al.</i>	02-23-1993	
	A4	5,258,546		KLUSENER <i>et al.</i>	11-02-1993	
	A5	5,028,576		DRENT <i>et al.</i>	07-02-1991	
	A6	5,099,062		DRENT <i>et al.</i>	03-24-1992	
	A7	5,103,043		DRENT <i>et al.</i>	04-07-1992	
	A8	5,158,921		DRENT <i>et al.</i>	10-27-1992	
	A9	5,166,116		DRENT <i>et al.</i>	11-24-1992	
	A10	5,177,253		DRENT <i>et al.</i>	01-05-1993	
	A11	5,179,225		DRENT <i>et al.</i>	01-12-1993	
	A12	5,149,868		DRENT	09-22-1992	
	A13	4,786,443		DRENT <i>et al.</i>	11-22-1988	
	A14	5,004,604		TERNESS <i>et al.</i>	04-02-1991	
	A15	5,210,280		DRENT	05-11-1993	
	A16	6,348,621		WANG <i>et al.</i>	02-19-2002	
	A17	6,706,912		DRENT <i>et al.</i>	03-16-2004	
	A18	6,743,911		DRENT <i>et al.</i>	06-01-2004	

FOREIGN PATENT DOCUMENTS

[illegible]

OTHER PRIOR ART – PENDING PATENT APPLICATIONS

Examiner Initials *	Cite No. ¹		
	A22	"Handbook of Chemistry and Physics," 76 th Ed., LIDE, David R., et al., C.R.C. Press, 1995.	
	A23	"Homogeneous Transition Metal Catalysis – A Gentle Art." MASTERS, C. Chapman and Hall, 1981.	
Examiner Signature		Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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